

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Tuganov et al.) Examiner: Not Yet Assigned
Application No.: Not Yet Assigned) Art Unit: Not Yet Assigned
Filed: Concurrently herewith)
For: LASER APPARATUS WITH ACTIVE)
THERMAL TUNING OF EXTERNAL)
CAVITY)

)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XX 37 C.F.R. §1.97(b).

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02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 22, 2003 
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Luci M. Arevalo
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July 22, 2003
(Date signed)

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

3

Complete if Known

Application Number	New Patent Application
Filing Date	Herewith
First Named Inventor:	Alexander V. Tuganov et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned

Attorney Docket Number

42P14875C

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
	1.	4,410,992		Javan	10/18/1993	
	2.	4,460,977		Shimada et al.	07/17/1984	
	3.	4,847,854		Van Dijk	07/11/1989	
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	5.	5,163,063		Yoshikawa et al.	11/10/1992	
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	26.	6,181,717	B1	Kner et al.	01/30/2001	
	27.	6,205,159	B1	Sesko et al.	03/20/2001	
	28.	6,215,802	B1	Lunt	04/10/2001	
	29.	6,229,835	B1	Tomaru et al.	05/08/2001	
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	33.	6,304,586	B1	Pease et al.	10/16/2001	
	34.	6,337,660	B1	Esman et al.	01/08/2002	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	3	Attorney Docket Number	42P14875C
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Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
35.	6,366,689	B1		Rao et al.	04/02/2002	
36.	6,366,592	B1		Flanders	04/02/2002	
37.	US 2002/0048297	A1		Irie et al.	04/25/2002	
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39.	6,441,933	B1		Jang	08/27/2002	
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53.	6,263,004	B1		Arvidsson et al.	07/17/2001	
54.	6,470,036	B1		Bailey et al.	10/22/2002	

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Exam. Initial*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴ Kind Code ⁵ (If known)				
55.	WO	98/05105		ROYCHOUDHURI	02/05/1998		
56.	WO	00/49689		ZORABEDIAN	08/24/2000		
57.	WO	01/04999	A1	CYOPTICS LTD.	01/18/2001		
58.	WO	02/078137	A1	NEW FOCUS, INC.	10/03/2002		
59.	WO	03/005500	A2	NEW FOCUS, INC.	01/16/2003		
60.	WO	03/005501	A2	NEW FOCUS, INC.	01/16/2003		
61.	WO	03/005512	A2	NEW FOCUS, INC.	01/16/2003		

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